

09-26-01

RECEIPT



Attorney Docket No. 14445-501 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Robert Chalifour et al.

SERIAL NUMBER: 09/867,847

EXAMINER: Not Yet Assigned

FILING DATE: May 29, 2001

ART UNIT: 1642

FOR: VACCINE FOR THE PREVENTION AND TREATMENT OF  
ALZHEIMER'S AND AMYLOID RELATED DISEASES

September 25, 2001  
Boston, Massachusetts

Application Processing Division's  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TECH CENTER 1600/2900

OCT 11 2001

RECEIVED

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

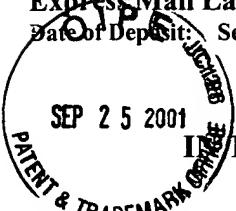
- Request for corrected filing receipt;
- Copy of filing receipt marked with corrections; and
- Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 14445-501 CIP. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Etting, Reg. No. 39,529  
Barry J. Marenberg, Reg. No. 40,715  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Robert Chalifour et al.

SERIAL NUMBER: 09/867,847

EXAMINER: Not Yet Assigned

FILING DATE: May 29, 2001

ART UNIT: 1642

FOR: VACCINE FOR THE PREVENTION AND TREATMENT OF ALZHEIMER'S AND AMYLOID RELATED DISEASES

September 25, 2001  
Boston, Massachusetts

Application Processing Division's  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:  
 incorrectly entered  
*and/or*  
 omitted.

<i>Error in</i>	<i>Correct data</i>
1. <input checked="" type="checkbox"/> Applicants' name	Lise Hebert
2. <input type="checkbox"/> Applicants' address	
3. <input type="checkbox"/> Title	
4. <input type="checkbox"/> Filing Date	
5. <input type="checkbox"/> Serial Number	
6. <input type="checkbox"/> Foreign/PCT Application	
7. <input type="checkbox"/> Continuing Data	
8. <input type="checkbox"/> Other: Attorney's Address	

Applicants: Robert Chalifour et al.  
U.S.S.N. 09/867,847

3.  Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 14445-501 CIP.
4. Other documents:  
 Copy of Limited Recognition Under 37 C.F.R. §10.9(b) for Michel Morency.

Respectfully submitted,

  
Ivor R. Elrif, Reg. No. 39,529  
Barry J. Marekberg, Reg. No. 40,715  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

TRA 1538831v2



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/867,847	05/29/2001	1642	606	14445-501 N CIP	7	39	5

CONFIRMATION NO. 8081

CORRECTED FILING RECEIPT



\*OC000000006508207\*

Ivor R Elrfi Phd  
MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

RECEIVED  
OCT 11 2001  
TECH CENTER 1600/2900

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will gen rate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Robert Chalifour, Ille Bizard, CANADA; *Hebert*  
Lise ~~XXXXXX~~, Brossard, CANADA;  
Xianqi Kong, Dollard-des-Oremaux, CANADA;  
Francine Gervais, Ille Bizard, CANADA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/724,842 11/28/2000  
WHICH CLAIMS BENEFIT OF 60/168,594 11/29/1999

*7/11*

**Foreign Applications**

**If Required, Foreign Filing License Granted 06/19/2001**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

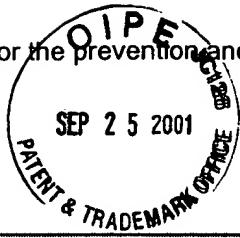
**\*\* SMALL ENTITY \*\***

**Title**

Vaccine for the prevention and treatment of alzheimer's and amyloid related diseases

Preliminary Class

424



Data entry by : DOUGLAS, BRIDGETTE

Team : OIPE

Date: 09/04/2001



RECEIVED  
OCT 11 2001  
TECH CENTER 1600/2900



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
OCT 11 2001  
TECH CENTER 1600  
1600 2001

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

**FILE COPY**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8081

SERIAL NUMBER 09/867,847	FILING DATE 05/29/2001 RULE	CLASS 424	GROUP ART UNIT 1642	ATTORNEY DOCKET NO. 14445-501 CIP
-----------------------------	-----------------------------------	--------------	------------------------	--------------------------------------

**APPLICANTS**

Robert Chalifour, Ile Bizard, CANADA;  
Lise Hebert, Brossard, CANADA;  
Xianqi Kong, Dollard-des-Oremaux, CANADA;  
Francine Gervais, Ile Bizard, CANADA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/724,842 11/28/2000  
WHICH CLAIMS BENEFIT OF 60/168,594 11/29/1999

*ST*

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

*none so*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***

\*\* 06/19/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CANADA	SHEETS DRAWING 7	TOTAL CLAIMS 39	INDEPENDENT CLAIMS 5
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

**ADDRESS**

Ivor R Elrifi Phd  
MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston ,MA 02111

**TITLE**

Vaccine for the prevention and treatment of alzheimer's and amyloid related diseases

FILING FEE RECEIVED 671	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---